

AUG 29 2007

**FAX TRANSMISSION****DATE:** August 29, 2007**PTO IDENTIFIER:** Application Number 10/800,023  
Patent Number**Inventor:** Daniel HAWIGER et al.**MESSAGE TO:** US Patent and Trademark Office**FAX NUMBER:** (571) 273-8300**FROM:** LAHIVE & COCKFIELD, LLP

Jill Gorny Sloper

**PHONE:** (617) 994-0869**Attorney Dkt. #:** RUJ-001CNCP2**PAGES (Including Cover Sheet):** 4**CONTENTS:** POA and Correspondence Address Indication Form (1 page)  
Statement By Assignee to Establish Ownership (37 CFR 3.73(b)) (1 page)  
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PTO/SB/07 (09-04)

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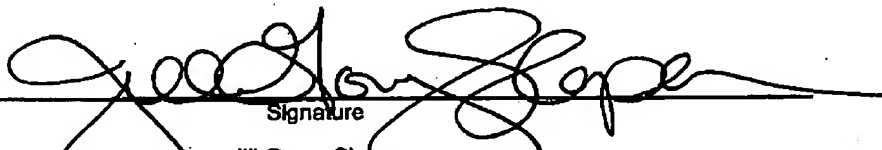
Application No. (if known): 10/800,023

Attorney Docket No.: RUJ-001CNCP2

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<b>POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM</b>	Application Number	10/800,023
	Filing Date	March 12, 2004
	First Named Inventor	Daniel HAWIGER
	Title	ENHANCED ANTIGEN DELIVERY AND MODULATION OF THE IMMUNE etc.
	Art Unit	1844
	Examiner Name	R. B. Schwadron
Attorney Docket No.		RUJ-001CNCP2

I hereby revoke all previous powers of attorney given in the above-identified application.

I hereby appoint:

☒ Practitioners associated with the Customer Number: 00959

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as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

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Country	US	Telephone	(617) 227-7400	Email	

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/98)

SIGNATURE of Applicant or Assignee of Record

Signature	<i>Kathleen A. Denis</i>	Date	8/22/07
Name	KATHLEEN A. DENIS, PH.D	Telephone	212-327-8266
Title and Company	ASSOCIATE VICE PRESIDENT TECHNOLOGY TRANSFER The Rockefeller University		

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ \*Total of 2 forms are submitted.

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PTO/SB/98 (04-07)

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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: The Rockefeller UniversityApplication No./Patent No.: 10/800023 Filed/Issue Date: March 12, 2004Entitled: ENHANCED ANTIGEN DELIVERY AND MODULATION OF THE IMMUNE RESPONSE THEREFROMThe Rockefeller University  
(Name of Assignee), a  
(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)
- in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 015570, Frame 0151, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

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The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.  
[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Kathleen A. Denis  
Signature  
KATHLEEN A. DENIS, Ph.D.  
ASSOCIATE VICE PRESIDENT  
TECHNOLOGY TRANSFER  
Authorized Signer for Assignee  
Title

8/22/07  
Date  
212-327-8266  
Telephone Number